DR 8409 (11/03/17)
COLORADO DEPARTMENT OF REVENUE
Liquor Enforcement Division
PO Box 173350
Denver CO 80217-3350
(303) 205-2300

## Colorado Liquor or 3.2% Fermented Malt Beverage Public Transportation, Wholesale, Manufacturer, Importer License Application

☐ New License ☐ Transfer Of Ownership									
<ul> <li>All answers must be printed in black ink or typewritten</li> <li>Applicant must check the appropriate box(es)</li> <li>Applicant should obtain a copy of the Colorado liquor and beer code: www.colorado.gov/enforcement/liquor</li> </ul>									
1a. Applicant is applying as a/an  Corporation  Partnership (includes Limited Liability and Husband and Wife Pa				☐ Individual ☐ Limited Liability Company					
<b>1b.</b> Name of Applicant(s) If partnership, list partners' names (at least two); if co				rporation, name of corporation			FEIN Number		
2a. Trade Name of Establishment (DBA)				State Sales Tax Numl		nber	Business Telephone		
3. Address of Premises (specify exact location of premises)									
City	Cou			nty			ZIP Code		
4. Mailing Address (Number and Street)		City or Town		State	ZIP Code				
5. Email Address	5. Email Address								
6. If the premises currently has a liqu									
Present Trade Name of Establishment (DBA)  Present State Lice Number		ense Present Class of License		Present Expiration Date					
Section A				Section C Liquor Lice			Liquor License Fees		
<ul><li>□ Application Fee for New License \$1550.00</li><li>□ Application Fee for Transfer \$1550.00</li></ul>				\$75.00 X(# Units) = Total Fee					
Section B				Manufacturer's License (Brewery)300.00					
□ 3.2% Beer Wholesale License       \$1         □ 3.2% Beer Manufacturer's License       \$1         □ 3.2% Beer Importer's License       \$1         □ 3.2% Nonresident Manufacturer License       \$1		50.00 50.00	□ Manufacturer's License (Distillery or Rectifier)300.00      □ Wholesale Liquor License (Vinous & Spirituous)550.00      □ Wholesale Rear License (Matt Liquor)						
				☐ Importer's License (Vinous & Spirituous Liquor)300.00 ☐ Limited Winery License70.00 ☐ Nonresident Manufacturer's License (Malt Liquor)300.00					
Questions? Visit: www.colorado.gov/enforcement/liquor for more information									
Do r	ot write in this sp				of Revenue use	only			
Liability Inform License Account Number Liability Date				ued Through (Expirati	on Date)	Total \$			

## **Application Documents Checklist and Worksheet**

**Instructions**: This check list should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. **All** documents must be typed or legibly printed. Upon final State approval the license will be emailed to the applicant. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information

	Items submitted, please check all appropriate boxes completed or documents submitted
I.	Applicant information
	<ul> <li>□ A. Identify applicant/licensee</li> <li>□ B. Identify license type or other transaction</li> <li>□ C. Identify state sales tax license number (transportation applicant only)</li> <li>□ D. Submit original application</li> </ul>
II.	Diagram (wholesalers, transportation and instate manufacturers)
	<ul> <li>□ A. 8 1/2" X 11" diagram of property to be licensed</li> <li>□ B. Address and diagram of premises where liquor is to be stored (transportation and wholesale applicants only)</li> </ul>
III.	Proof of property possession (not required for importers and nonresident manufacturers)
	<ul> <li>□ A. Deed in name of the applicant only, or (matching question #1)</li> <li>□ B. Lease in the name of the applicant only and valid for at least one (1) year from the date of license issuance (matching question #1), or</li> <li>□ C. Lease assignment in the name of the applicant only with proper consent from the landlord and acceptance by the applicant</li> <li>□ D. Copy of vehicle registration (transportation applicant only)</li> <li>□ E. Copy of vehicle lease (transportation applicant only)</li> <li>□ F. Other agreement if applicable</li> </ul>
IV.	Background Information (DR 8404-I)
	<ul> <li>□ A. Complete for each principal (stockholder, officer, director, partner, member, etc.)</li> <li>□ B. Complete fingerprint cards for the same persons mentioned in "A" above. Cards are to be taken to the local police department for fingerprinting. Return the completed cards to this department with a check for \$39.50, per card, made payable to Colorado Bureau of Investigation (Personal checks are not accepted)</li> </ul>
V.	Sole proprietor/husband and wife partnership
	<ul><li>□ A. Form DR 4679</li><li>□ B. Copy of State issued Driver's License or Colorado Identification Card for each applicant</li></ul>
VI.	Corporate applicant information (if applicable)
	<ul> <li>□ A. Copy of Articles of Incorporation (date stamped by Colorado Secretary of State's Office) and/or</li> <li>□ B. Certificate of Good Standing if incorporated more than 2 years ago</li> <li>□ C. Certificate of Authorization if foreign corporation (instate applicants only)</li> <li>□ D. List of officers, directors and stockholders of any parent corporation (designate one person as "principal officer")</li> </ul>
VII.	Partnership applicant information (if applicable)
	<ul><li>□ A. Partnership Agreement (general or limited). Not needed if husband and wife</li><li>□ B. Certificate of Good Standing (if formed after 2009)</li></ul>
VIII.	Limited Liability Company applicant information (if applicable)
	<ul> <li>□ A. Copy of Articles of Organization (date stamped by Colorado Secretary of State's Office) and/or</li> <li>□ B. Certificate of Good Standing if organized more than two years</li> <li>□ C. Copy of operating agreement</li> <li>□ D. Certificate of Authorization if foreign company (instate applicants only)</li> </ul>
IX.	Other Permits
	<ul><li>□ A. Copies of all federal Basic permits</li><li>□ B. Copy of Colorado PUC authority (transportation applicants only)</li></ul>
Χ.	Product Registration and Sole Source designation for Importers, Nonresident Manufacturers, Wholesalers, and instate Manufacturers can be completed online: <a href="https://www.productregistrationonline.com/GetStarted/CO#selectPermit">www.productregistrationonline.com/GetStarted/CO#selectPermit</a>
XI.	Application Review
	<ul><li>□ A. Complete in all sections</li><li>□ B. Sign Application</li></ul>

	Is the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers under the age of twenty-one years?						Yes	No	
8.	Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state):								
	(a) Been denied an alcohol beverage license?								
	(b) Had an alcohol beverage license suspended or revoked?								
	(c) Had interest in another entity that had an alcohol beverage license suspended or revoked?								
If you answered yes to 8a, b or c, explain in detail on a separate sheet.									
9. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current financial interest in said business including any loans to or from a licensee on a separate sheet.									
10. Does the applicant, as listed on line 1b of this application, have legal possession of the premises from the date that this license will be issued by virtue of ownership, lease, or other arrangement? (in state applicants)									
	☐ Ownership ☐ Lease		er (explain in detail)						
	a. If leased, list name of landlord, tenar	it, and date	of expiration <b>exactly</b> as they appear	on the lease. Tenant			Expires		
Landlord				тепапт					
	b. Is a percentage of alcohol sales incli	uded as com	npensation to the landlord? If yes co	mplete questio	n #11				
c. Attach a diagram to designate the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" x 11".									
11.	Who, beside the owners listed in this ap	plication (in	cluding persons, firms, partnerships,	corporations, I	imited liability compar	nies), wi	ll loan or	give	
	money, inventory, furniture or equipmen	t to or for us	· · · · · · · · · · · · · · · · · · ·						
Las	t Name		First Name	Date of Birth	FEIN OF SSN		Interest/F	ercer	itage
Las	t Name		First Name	Date of Birth	FEIN or SSN		Interest/F	nterest/Percentage	
Attach copies of all notes and security instruments and any written agreement, or details of any oral agreement by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.  12. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and									
Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the Applicant persons listed below must also attach form DR 8404-I (Individual History Record), and submit fingerprint cards to the local licensing authority.								AII	
Nar	me	Home Addr	ress, City & State		Date of Birth	Positio	n	% O\	wned
Nar	me	Home Addr	ress, City & State		Date of Birth	Positio	n	% O\	wned
Nar	me	Home Addr	ress, City & State		Date of Birth	Positio	n	% O	wned
Nar	ne	Home Addr	ress, City & State		Date of Birth	Positio	n	% O\	wned
Nar	me	Home Addr	ress, City & State		Date of Birth	Positio	n	% O	wned
Nar	ne	Home Addr	ress, City & State		Date of Birth	Positio	n	% O\	wned
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Nar	ne	Home Addr	ress, City & State		Date of Birth	Positio	n	% O	wned
Nar	ne	Home Addr	ress, City & State		Date of Birth	Positio	n	% O	wned
		<del></del>			· · · · · · · · · · · · · · · · · · ·				

<sup>\*\*</sup>Limited Liability Companies and Partnerships - 100% of ownership must be accounted for on question #12.

<sup>\*\*</sup>Corporations - The President, Vice-President, Secretary and Treasurer must be accounted for on question #12 (Include ownership percentage if applicable)

13. Colora	ado Manufacturer, Wholesaler or Limited Winery applicants, answer the following	g:		Yes	No				
(a)	a) Will the applicant store or sell alcohol beverages at more than one location in Colorado? If yes, provide the address of each location and explain the activity to be conducted at each location (e.g., warehouse, salesroom, etc.) on a separate sheet.								
(b)	b) If applicant is a wholesaler, does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the State of Colorado to sell malt, vinous or spirituous liquor? If yes, explain in detail on a separate sheet.								
(c)	(c) Does the applicant have a valid federal Basic permit or Brewers notice? If yes, attach a copy; if no, explain whether one has been applied for on a separate sheet.								
	Please use a separate sheet of paper fo	any explanations							
14. Nonresident Manufacturer (3.2% beer or malt liquor) or Importer (3.2% beer, malt, vinous or spirituous liquor) applicants, answer the following:									
(a) To what Colorado licensed wholesaler do you intend to ship your merchandise?									
(b) Does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the state of Colorado to sell malt, vinous or spirituous liquor? If yes, attach explanation in detail.									
(c)	Does the applicant have a valid federal Basic permit or Brewers notice? If yes	attach a copy; if no, explain in detail.							
(d)	Are you the primary source of supply in the U.S.? If no, explain.								
(e)	<ul><li>(e) Are all your products registered in Colorado? If no, register online at www.productregistrationonline.com/GetStarted/CO#selectPermit</li></ul>								
	Please use a separate sheet of paper fo	r any explanations							
15. Public	Transportation System license applicants, answer the following:								
(a)	How many vehicles; cars, buses, planes or other conveyances (units) will serve	e alcohol beverages in Colorado?							
(b)	Does the applicant have possession by title or lease of all of the units describe	d above?							
(c)	Does applicant have PUC authority? (attach copy of authority)								
(d)	Where will liquor be stored? (list address below)								
Street Add	Iress	City	ate Zip						
Oath Of Applicant  I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.									
Authorize	d Signature Printed Name and T	tle	Date						
Report and Approval By State Licensing Authority									
Each per	son required to file DR 8404-I been:								
	Fingerprinted								
☐ Background and NCIC and CCIC check for outstanding warrants conducted									
For Wholesalers and Manufacturers only									
The liquor licensed premises has been inspected by an agent of the state licensing authority.									
If "no", the building will be completed and ready for inspection by									
Ву		Title	Date						